Office of Mental Health Office of Alcoholism and Substance Abuse Services

SECTION A: IDENTIFICATION INFORMATION							
Name (First, Middle Initial, L	_ast)		Health Home where person is enrolled				
Date of Birth			Is person on HARP-eligible list? O On HARP list				
Month Day Year			O Not on HARP list				
What was individual's sex a) Male	Medicaid ID (CIN)				
(on original birth certificate)	-) Female) Other		<u> </u>			
Gender Identity	O Male		Health Home Local Case				
	O Female O Other		Social Security Number				
		would not) answer	What is person's religion?				
Sexual Orientation	O Heterosexua	l or straight	O Roman Catholic	O Unspecified Christian			
		, gay, or lesbian	 O Mainline Protestant O Evangelical Protestant 	O Jewish O Muslim			
	O Bisexual O Other		O Non-denominational Protestant	O Buddhist			
	O Not sure		O Historically Black Protestant	O Hindu			
	O Could not (w	vould not) respond	O Eastern Orthodox	O Other			
	ver married		O Latter-Day Saints (Mormon) O Unknown	O No religion			
Marital O Nev Status O Mar		O Separated O Divorced					
	tner/Significant Other	O Unknown					
O Wid							
Date of Assessment]//						
Reason for Assessment		Person's expresse					
 O First assessment O Routine reassessment 		Identify primary g	oai				
O Return assessment							
 O Significant change in s O Exit assessment 	tatus reassessment						
O Exit assessment O Other (e.g., research)							
Capacity							
Capable to consent			O No O Yes				
Capable to disclose t		g to clinical record	O No O Yes				
Capable to manage Has a substitute decis		al care or financial	O No O Yes decisions O No O Yes				
	sen maker for person		O NU O TES				

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Community Mental 11001th Accord -+

Substance Abuse Services		H	ealth Asses	ssment
 Residential/Living status at time of assessment Private home/apartment/rented room DOH adult home Homeless - shelter Homeless - street Mental Health supported/supportive housing (A OASAS/SUD community residence OCFS/ACS/DSS community residence program (Family foster care group home, Therapeutic foster care 	all types)) Long-term car) Rehabilitation	y/palliative care unit ospital/unit	Living Arrangement Alone With spouse/partner only With spouse/partner and other(s) With child (not spouse/partner) With parent(s) or guardian(s) With sibling(s) With other relatives With non-relative(s)
Individual receives housing supports	No O Yes	5	Residential Instability Residential stability over (e.g., 3 or more moves, r address, homeless, living	no permanent ONO OYes
Comments, Section A				
SECTION B: INTAKE AND INITIAL HISTORY				
Reasons for Referral/Assessment			Self-Identified Race/Et	hnicity
Threat or danger to self	O No	O Yes	(Check two most importa	ant racial/ethnic group identities)
Threat or danger to others	O No	O Yes	O White	
Inability to care for self due to mental illness	O No	O Yes	O Eastern European O Other European O Middle Eastern	
Problem with addiction or dependency	O No	O Yes	O Other white	
Specific psychiatric symptoms (e.g., depression, hallucinations, medication side effects) Involvement with criminal justice system, or forensic admission		O Yes O Yes	O Black O African-American O Afro-Caribbean O African Continent O Other black	
Date Case Opened			O Unknown black O American Indian c	
Month Day Ye	ar		O Unknown America O Asian	an Indian or Alaska Native tribe
Cultural/Ethnic Information Hispanic If Hispanic is "Yes": Cuban Mexican Puerto Rican Dominican Ecuadorian Other Hispanic	O No O O No O O No O O No O O No O	Yes Yes Yes Yes Yes Yes Yes	 O Chinese O Japanese O Asian Indian O Pakastani O Filipino O Vietnamese O Korean O Other Asian O Native Hawiian 	

- O Other Pacific islander
- O Unknown Native Hawaiian or Other Pacific Islander
- O Other
- O Unknown

Unknown

O No O Yes

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Substance Abuse Services			1	
Preferred Language O English O Spanish O American Sign language O Arabic O Cantonese O Fujianese O Mandarin O Other Chinese O French O German O Greek O Haitian/ French Creole O Other language not listed:	O Hebrew O Hindi O Italian O Japanese O Korean O Polish O Russian O Tagalog O Urdu O Vietnamese O Yiddish O Unknown	ð	Addiction Treatment History Code for time since last discharge from addiction treatment program or service 0 30 days or less (from this 0 30 days or less (from and 0 31 - 90 days 0 91 days to 1 year 0 More than 1 year 0 Not applicable (no prior Inpatient stay for substance use disorder Number of inpatient rehabilitation admissions for substance use disorder in the past 6 months	ther program)
Interpreter needed				-
Interpreter needed Mental Health Services	(O No O Yes	Number of inpatient detoxification admissions for substance use disorder in the past 6 months	O NoneO 1 - 2O 3 or more
Time since last contact with community mental health age or professional in PAST YEAR		contact in past year ays or more	Substance-related convictions Code for tor all LIFETIME convictions	
(e.g., psychiatrist, social worker EXCLUDE THIS CONTACT Time since last psychiatric hos Code for most recent instance in L O No O Mo O 15 O 8 to	r) O 30 d pital discharge AST 90 DAYS	ays or less vithin last 90 days ago	Drug possession Distribution or making of drugs (includes illicit drugs, prescription medication, counterfeit prescription medication)	 Never Over 5 years 1 - 5 years 31 days to 1 year Last 30 days Never Over 5 years 1 - 5 years 31 days to 1 year Last 30 days
	w in hospital			O Never
Number Psychiatric Admission in LAST 2 YEARS Number Lifetime Psychiatric Ad	s	O None O 1 to 2 O 3 or more O None	Driving under the influence	 Over 5 years O 1 - 5 years O 31 days to 1 year O Last 30 days
		0 1 to 3 0 4 to 5 0 6 or more	Comments, Section B	
Age in Years of First Overnight Psychiatric Hospital or Unit	Stay in	 Never 1 to 14 15 to 24 25 to 44 45 to 64 65 + 		
History of Involuntary Psychiatr	ic Admissions	O No O Yes		

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SECTION C: MENTAL STATE INDICATORS

Mental State Indicators

Code for indicators observed in last 3 days, irrespective of the assumed cause [Note: whenever possible, ask person]

0 = Not present	1 = Present but not exhibited in last 3 days	2 = Exhibited on 1-2 of	last 3 days	3 = Exhibited daily	v in last 3 days
•	I moselle bat not exhibited in last e days		ast o days		y mindst o days

MOOD DISTURBANCE	0	1	2	3
Sad, pained, or worried facial expressions (e.g., furrowed brow, constant frowning)	0	0	0	0
Crying, tearfulness	0	0	0	0
Decreased energy - Statements of decrease in energy level (e.g., "I just don't feel like doing anything; I have no energy")	0	0	0	0
Made negative statements (e.g., "Nothing matters; Would rather be dead; What's the use; Regret having lived so long; Let me die")	0	0	0	0
Self-deprecation (e.g., "I am nothing; I am of no use to anyone")	0	0	0	0
Expressions of guilt or shame (e.g., "I've done something awful; This is all my fault; I am a terrible person")	0	0	0	0
Expressions of hopelessness (e.g., "There's no hope for the future; Nothing's going to change for the better") Inflated self-worth (e.g., exaggerated self-opinion, arrogance, inflated belief about one's own ability) Hyper-arousal - Motor excitation; unusually high activity; increased reactivity Irritability - Marked increase in being short-tempered or easily upset	00000	00000	00000	00000
Increased sociability or hypersexuality - Marked increase in social or sexual activity	0	0	0	0
Pressured speech or racing thoughts - Rapid speech, rapid transition from topic to topic	0	0	0	_0_
Labile affect - Affect fluctuates frequently with or without an external explanation	0	0	0	0
Flat or blunted affect - Indifference, non-responsiveness, hard to get to smile, etc.	0	0	0	0
ANXIETY				
Repetitive anxious complaints/concerns (non-health related) (e.g., persistently seeks attention/reassurance regarding schedules, meals, laundry, clothing, relationships)	0	0	0	0
Expressions, including non-verbal, of what appear to be unrealistic fears (e.g., fear of being abandoned, being left alone, being with others; intense fear of specific objects or situations)	0	0	0	0
Obsessive thoughts - Unwanted ideas or thoughts that cannot be eliminated	0	0	0	0
Compulsive behavior (e.g., hand washing, repetitive checking of room, counting)	0	0	0	0
Intrusive thoughts or flashbacks - Disturbing memories or images that intrude into thoughts, unexpected recall of adverse events	0	0	0	0
Episodes of panic - Cascade of symptoms of fear, anxiety, loss of control	0	0	0	0
PSYCHOSIS				
Hallucinations - False sensory perception, of any type, with or without insight, without corresponding stimuli (e.g., auditory, visual, tactile, olfactory, gustatory hallucinations)	0	0	0	0
Command hallucinations - Hallucination directing the person to do something or to act in a particular manner (e.g., to harm self or others)	0	0	0	0
Delusions - Fixed false beliefs (e.g., grandiose, paranoid, somatic, excluding beliefs specific to person's culture or religion)	0	0	0	0
Abnormal thought processes (e.g., loosening of associations, blocking, flight of ideas, tangentiality, circumstantiality)	0	0	0	0
[Note: Continued on next page]				

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0 = Not present 1 =Present but not e	xhibited in last 3 days $2 = \mathbf{E}$	whibited on 1-2 of last 3 days $3 = Exhibited data$	aily ir	ı last	3 da	iys
NEGATIVE SYMPTOMS		0	1	2	3	
Expressions (including non-verbal) of (e.g., "I don't enjoy anything anymore	e (anhedonia)	0	0	0	0	
Withdrawal from activities of interest attend programs and activities)	0	0	0	0		
Lack of motivation - Absence of spor	0	0	0	0		
Reduced social interactions			0	0	0	0
OTHER INDICATORS						
Repetitive health complaints (e.g., pe	ersistently seeks medical atte	ntion, incessant concern with body functions	0	0	0	0
Recurrent statements that something terrible is about to happen (e.g., believes he or she is about to die, have a heart attack)						0
Persistent anger with self or others (e.	0	0	0	0		
Unusual or abnormal physical movements - Unusual facial expressions or mannerisms; peculiar						0
motor behavior or body posturing (e.g., stereotypies, waxy flexibility)						0
Hygiene -Unusually poor hygiene, unkempt, disheveled						
Difficulty falling asleep or staying asle	eep; waking up too early; res	tlessness; non-restful sleep	0	0	0	0
Too much sleep - Excessive amount of	of sleep that interferes with p	erson's normal functioning	0	0	0	0
Sleep problems related to hypomania	or mania	Self-Reported Mood				
Person had 24-hour period with less than 2 hours of sleep caused by increased energy level (Code for most recent instance)	 0 = Not in last 3 days 1 = Not in last 3 days, but often feels that 2 = In 1-2 of last 3 days 3 = Daily in last 3 days 8 = Person could not (would not) respond Ask: "In the last 3 days, how often have you felt" 	Ū	1 2	2 3	8	
Degree of Insight into Mental	O Full	Little interest or pleasure in things you	0	0 0	0	0
Health Problem	O Limited	normally enjoy? Anxious, restless, or uneasy?	0	0 0	\mathbf{b}	0
	O None	Sad, depressed, or hopeless?	-	00		•
Comments, Section C		· ·				

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SECTION D: SUBSTANCE USE OR EX	CESSIAI		ΠΑV	IUR			
Alcohol Highest number of drinks in any "single							Intentional misuse of prescription or over-the-counter medication in LAST 90 DAYS
O None O 1	O 2 - 4	4 (О5 d	or m	ore		(e.g., used medication such as benzodiazapines or analgesics for purpose other than intended)
days consumed alcohol to O point of intoxication O	None 1 day 2 to 8 da 9 or more Daily		ys, b	out n	ot da	aily	O No O Yes High risk consumption Code for any consumption of unconventional, highly dangerous substances for the purpose of intoxication in the last 90 days (e.g., hand sanitizer, antifreeze, large quantity of
Time since use of the	0 = Nev	ver					nutmeg) O No O Yes
following substances	1 = Mo 2 = 31 c 3 = 8 tc 4 = 4 tc 5 = In la 0	days 530 57 d ast 3	to 1 days ays a day 2	yea s ago ago rs 3	ar ag	0	Injection drug use (Exclude prescription medications) O Never used injection drugs O Used injection drugs more than 30 days ago O Used injection drugs in last 30 days; did not share needles O Used injection drugs in last 30 days; did share needles
Inhalants (e.g., glue, gasoline, paint thinners, solvents) Hallucinogens (e.g., phencyclidine or "angel dust", LSD or "acid", "magic	0 0	0 0	0 0	0 0	0 0	0 0	Patterns of drinking or other substance use in last 90 days Presence of behavioral indicators of potential substance- related addiction in LAST 90 DAYS
mushrooms", "ecstasy") Cocaine or crack Stimulants (e.g., amphetamines,	0	0 0	0 0	0 0	0 0	00	Person felt the need or was told by others to cut down on drinking or drug use, or others were concerned about person's substance use
"uppers", "speed", methamphetamin prescription stimulant not prescribed Heroin		0	0	0	0	0	Person has been bothered by criticism from ONO OYes others about drinking or drug use
Other opiates (including synthetics) (e.g., oxycodone, hydrocodone, or	0	0	0	0	0	0	Person has reported feelings of guilt about drinking or drug use
methadone not prescribed) Marijuana not prescribed	0	0	0	0	0	0	Person had to have a drink or use drugs first thing in the morning to steady nerves O No O Yes
Sedatives or anti-anxiety not prescribed	0	0	0	0	0	0	(e.g., an "eye opener") Person feels social environment encourages or O No O Yes facilitates abuse of drugs or alcohol
Abstinence History							Withdrawal symptoms
Longest period of abstinence in last 5 years (excluding tobacco products and over-the-counter or	O More O 91 da O 90 da	ys to ys oi	o 2 ye r less	ears			Severity of signs and symptoms possibly indicative of withdrawal from alcohol, drugs, or medication. Code for most severe level in LAST 3 DAYS.
prescribed medications as recommended by a physician)	O 30 da O No pe O Not a	eriod	ls of	abst	inen	се	O None present O Mild - Symptoms typical of early stages of withdrawal (e.g., agitation, "jitters", cravings, gastrointestinal
Most recent episode of abstinence in last 5 years		thar iys to iys o iys o iys o erioc	n 2 y o 2 ye r less r less ls of	ears ears 5 abst		ce	 (e.g., anxiety, hostility, vivid dreaming) O Moderate - Increased severity of early indicators (e.g., weakness, sweating, hot flashes, fainting, muscle twitching) O Severe - Symptoms typical of late stages of withdrawal (e.g., exhaustion, seizures, tremors, tachycardia, disorientation, hyperventilation)

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Overdose (ingestion of drugs or alcohol in an amount exceeding what the body can metabolize or excrete before toxicity) Code for most recent time of event	 O Never O More than 1 year ago O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago O In last 3 days 	Gambled excessively or uncontrollably in LAST 90 DAYSO NoO YesProblem video gaming and Internet use in LAST 90 DAYS Code for disruption caused by the person's usual pattern of video gaming or Internet use							
Person has ever had a diagnosis of substance-related disorder (e.g., alcohol dependence) Caffeine Use Highest number of caffeinated bever single day of the LAST 3 DAYS O No coffee or caffeinated beverages O 1-2 cups of coffee or 1-4 caffeinated O 3-5 cups of coffee or 5-9 caffeinated O 6 or more cups of coffee or 10 or mor	beverages beverages	 0 = None 1 = Minimal - Some disruption, but completes normal day-to-day activities, attends to paid and unpaid work responsibilities (e.g., competitive employment, school, parenting, household chores) 2 = Moderate - Due to problem video gaming/Internet use, reduced attention to personal needs (e.g., hygiene, sleeping, eating): limited in-person social activity outside of video gaming/on-line interactions, poor productivity and 							
Uses tobacco daily O No	ys, but is usually a daily user	attendance at work or school 3 = Severe - Due to problem use, does not attend to person participation in in-person soci activities; not attending work risk of workplace dismissal or Problem with video gaming Problem Internet use	video g onal ne cial or h k or scho	eds; ouse ool, c	negl hold or at	ligible 1 serious			

Self-injurious ideation or attempt		Other indicators of self-injurious beha	vior				
Code for most recent instance Considered performing self-injurious act	O Never O More than 1 year ago	Family, caregiver, friend, or staff expresses concern that the person is at risk for self-injury		O No O Yes			
	O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago	Suicide plan - in LAST 30 DAYS, form a scheme to end own life	nulated	O No O Yes			
	Violence: Code for most recent instance						
Most recent self-injurious attempt	O Never O More than 1 year ago O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago O In last 3 days	Violent ideation - (e.g., reports of pre-meditated thoughts, statements, plans to commit violence)	O 31 day	than 1 year ago ys - 1 year ago days ago			
Intent of any self-injurious attempt was to kill him/herself	O No O Yes O No attempt		O In last	3 days			

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Community Mental Health Assessment

Intimidation of others of threatened violence - threatening gestures of with no physical conta angrily, throwing furnit threats of violence)	(e.g., or stance act, shouting	O Never O More than 1 year ago O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago O In last 3 days	Police intervention for non-violent behavior		ys ago
Violence to others - Ad purposeful, malicious, vicious intent, resulting physical harm to anot stabbing, choking, bea	or g in her (e.g.,	O Never O More than 1 year ago O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago O In last 3 days	Arrested with charges		ys ago
History of sexual violence or assault as perpetrator O No O Yes Extreme behavior disturbance History of extreme behavior(s) that suggests serious risk of harm to self (e.g., severe self-mutilation) or others (e.g., fire setting, homicide)			Incarcerated (i.e., jail or prison with overnight stay)		ys ago
		not exhibited in last 7 days	Currently on probation or parole	O No O Yes	
Police Intervention	O Yes, exhi	bited in last 7 days	Currently on court diversion/suppo	ort program	O No O Yes
	instance (ex	clude contact as victim) O Never O More than 1 year ago O 31 days - 1 year ago O 8 - 30 days ago O 4 - 7 days ago O In last 3 days	Restraining order(s) O Never pres O Previous or O Order(s) pr Community treatment order(s) (AC	der(s), but n resent DT) (one present now D Not present D Present

Comments, Section E

 Behavioral Symptoms Code for indicators observed in last 3 days irrespective of the assumed cause 0. Not present 1. Present but not exhibited in last 3 days 2. Exhibited 1-2 of last 3 days 3. Exhibited daily in last 3 days 					Comments, Section F
	0	1	2	3	
Wandering - moved with no rational purpose, seemingly oblivious to needs or safety	0	0	0	0	
Verbal abuse (e.g., others were threatened, screamed at, cursed at)	0	0	0	0	
Physical abuse (e.g., others were hit, shoved, scratched)	0	0	0	0	
Socially inappropriate or disruptive behavior (e.g., made disruptive sounds or noises, screamed out, smeared or threw food or feces, hoarded, rummaged through other's belongings, banging, rocking, seeking touch from other)	0	0	0	0	
Inappropriate public sexual behavior or public disrobing	0	0	0	0	
Resists care (e.g., taking medications/injections, ADL assistance, eating)	0	0	0	0	

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SECTION	G:	COGNITION	

Cognitive Skills for Daily Decision Making Making decisions regarding tasks of daily life (a O Independent - decisions consistent, reason O Modified independence - some difficulty in O Minimally impaired - in specific recurring sit O Moderately impaired - decisions consistent O Severely impaired - never or rarely makes o O No discernible consciousness, coma	able and safe n new situations only uations, decisions becor ly poor or unsafe; cues/s	ne poor or unsafe; cues/s	supervision ne			times			
Memory/Recall Ability Code for recall of wha Short-term memory OK - seems/appears to recall after 5 minutes	t was learned or known O Yes, memory OK O Memory problem	Acute Change in Menta Person's Usual Functionin (e.g., restlessness, lethar arouse, altered environr	5	O No O Yes					
Procedural memory OK - Can perform all or almost all steps in a multi-task sequence without cues	O Yes, memory OK O Memory problem	Change in Decision Mal Compared to 90 DAYS A (or since last assessmen	AGO	O Improved O No change O Declined					
Periodic Disordered Thinking or Awareness [Note: Accurate assessment requires conversa	ations with		-	O Uncerta					
staff, family or others who have direct knowled person's behavior over this time.] Codes:		Comments, Section G							
 0 = Behavior not present 1 = Behavior present, consistent with usual f 2 = Behavior present, appears different from (e.g., new onset or worsening; different from Easily distracted (e.g., episodes of difficulty attention; gets sidetracked)	n usual functioning n a few weeks ago) <u>0 1 2</u>								
Episodes of disorganized speech (e.g., speen nonsensical, irrelevant, or rambling from subsubject; loses train of thought)									
Mental function varies over the course of the (e.g., sometimes better, sometimes worse)	eday OOO								
SECTION H: FUNCTIONAL STATUS									
Independent Living Skills (IADLs) Code for PERFORMANCE in routine activities around the home or in the community during the LAST 3 DAYS Code for CAPACITY based on presumed ability to carry out activity as independently as	help, setup, or supervision sight/cuing > - help on some occasions ce - help throughout task, but performs 50% or more of task on own ce - help throughout task, but performs less than 50% of task on own = - full performance by others during entire period ccur - during entire period (DO NOT USE THIS CODE IN SCORING								
possible. This will require "speculation" by the assessor.	CAPACITY)	PERFORMAN		CAPAC					
Meal preparation - How meals are prepared (e assembling ingredients, cooking, setting out for	<u> </u>	$\begin{array}{cccccccccccccccccccccccccccccccccccc$							
Ordinary housework - How ordinary work aroun (e.g., doing dishes, dusting, making bed, tidying	•	00000	0000	0000) O C) ()			

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			F	PERF	OR	MA	NC	E		CAPACITY				IΤΥ		
	(0	1	2	3	4	5	6	8	0	1	2	3	4	5	6
Managing finances - How bills are paid, checkbook is balanced, h expenses are budgeted, credit card account is monitored	ousehold (С	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Managing medications - How medications are managed (e.g., rer to take medicines, opening bottles, taking correct drug dosages, g injections, applying ointments)		С	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Phone use - How telephone calls are made or received (with assist devices such as large numbers on telephone, amplification as needed)		C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Shopping - How shopping is performed for food and household ite (e.g., selecting items, paying money) EXCLUDE TRANSPORTATION	ms (С	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation - How travels by public transportation (navigating system) fare) or driving self (including getting out of house, in and out of ve			0	0	0	0	0	0	0	0	0	0	0	0	0	0
	lent - no physic															
	dent, setup help ivsical assistanc										or pl	ace	d wi	thin		
If all episodes are performed at the same level, score2 = SupervisiADL at that level. If any episodes at level 6, and others3 = Limited aless dependent, score ADL as a 5.taking weigh	 reach, no physical assistance or supervision in any episode 2 = Supervision - oversight/cuing 3 = Limited assistance - guided maneuvering of limbs, physical guidance without taking weight 										out					
A = Extensive assistance - weight-bearing support (including lifting limbs) byOtherwise, focus on the three most dependent episodes[or all episodes if performed fewer than 3 times].If most dependent episode is 1, score ADL as 1. If not, score ADL as least dependent of those episodes in range 2 - 5.2 - 5.4 = Extensive assistance - weight-bearing support (including lifting limbs) by 1 helpers -OR- weight-bearing support for more than 50% of subtasks 6 = Total dependence - full performance by others during all episodes 8 = Activity did not occur during entire period																
									0	1	2	3	4	5	6	8
Personal Hygiene - How manages personal hygiene, including co applying make-up, washing and drying face and hands - EXCLU						:h, s	hav	ing	, C	0	0	0	0	0	0	0
Locomotion - How moves between locations on same floor (walk self-sufficiency once in chair	ng or wheelin	ng)	. If	in v	vhe	elcl	hair	,	С	0	0	0	0	0	0	0
Transfer toilet - How moves on and off toilet or commode									0	0	0	0	0	0	0	0
Toilet Use - How uses the toilet room (or commode, bedpan, urina incontinent episode(s), changes pad, manages ostomy or cathe TRANSFER ON AND OFF TOILET								r	C	0	0	0	0	0	0	0
Eating - How eats and drinks (regardless of skill). Includes intake of tube feeding, total parenteral nutrition)	fnourishment	by	/ ot	her	me	ean	s (e	e.g.,	C	0	0	0	0	0	0	0
Total hours of exercise or physical activity in LAST 3 DAYS(e.g., walking)O NoneO 3 - 4 hoursO Less than 1 hourO More than 4 hoursO 1 - 2 hours	90 days a	Change in ADL Status as compared to 90 days ago, or since last assessment if less than 90 days agoO Improved O No change O Declined O Uncertain							ge							
Physical Function Improvement Potential	Comments	s, S	ect	tion	H											
Demon baliayos ha /sha is asnabla of																
improved performance in physical function																
Care professional believes person is capable of improved performance in O No O Yes physical function																

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Community Mental Health Assessment

SECTION I: COMMUNICATION AND VISION

Making Self Understood (Expression)

Expressing information content - both verbal and non-verbal

- O Understood expresses ideas without difficulty
- O Usually understood difficulty finding words or finishing thoughts BUT if given time, little or no prompting required
- O Often understood difficulty finding words or finishing thoughts AND prompting usually required
- O Sometimes understood ability is limited to making concrete requests
- O Rarely or never understood

Ability to Understand Others (Comprehension)

Understanding verbal information content (however able; with hearing appliance normally used)

- O Understands clear comprehension
- O Usually understands misses some part/intent of message BUT comprehends most conversation
- O Often understands misses some part/intent of message BUT with repetition or explanation can often comprehend conversation
- O Sometimes understands responds adequately to simple, direct communication only

O Rarely or never understands

Hearing: Ability to hear (with hearing appliance normally used)

- O Adequate no difficulty in normal conversation, social interaction, listening to TV
- O Minimal difficulty difficulty in some environments (e.g., when person speaks softly or is more than 6 feet away)
- O Moderate difficulty problem hearing normal conversation, requires quiet setting to hear well
- O Severe difficulty difficulty in all situations (e.g., speaker has to talk loudly or speak very slowly;
- O No hearing or person reports that all speech is mumbled)

Vision: Ability to see in adequate light (with glasses or with other visual appliance normally used)

- O Adequate sees fine detail, including regular print in newspaper/books
- O Minimal difficulty sees large print, but not regular print in newspaper/books
- O Moderate difficulty limited vision; not able to see newspaper headlines; but can identify objects
- O Severe difficulty object identification in question, but eyes appear to follow objects; sees only light, color, shapes
- O No vision

Comments, Section I

SECTION J: HEALTH CONDITIONS

Self-Reported Health Ask: " <i>In general, how</i> <i>you rate your health</i>	would O Good	Balance Dizziness Unsteady gait Cardiac	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
LAST 3 DAYS	 0 = Not present 1 = Present but not exhibited in last 3 days 2 = Exhibited on 1 of last 3 days 3 = Exhibited on 2 of last 3 days 4 = Exhibited daily in last 3 days 	Chest pain	00000

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Problem Frequency	0 = Not present1 = Present but not exhibited in		in la	nst 2	l dave	Falls Last 30 days O No falls O 1 fall O 2+ falls									
Code for presence LAST 3 DAYS	 2 = Exhibited on 1 of las 3 = Exhibited on 2 of las 	t 3 da	ys	ist J	uays	31 - 90 days O No falls O 1 fall O 2+ falls									
	4 = Exhibited daily in las					91 - 180 days O No falls O 1 fall O 2+ falls									
GI Status		0	1	2	34	Pain Symptoms									
Acid Reflux - Regurg stomach to throat	itation of acid from	0 0	C (C (0 0	[Note: Always ask person about pain frequency, intensity, and control. Observe person and ask others who are in contact with the person.]									
Constipation - No bo days or difficult pass		0 (c c	C (0 0	Frequency with which person complains or shows evidence o pain (including grimacing, teeth clenching, moaning, withdrawal wh									
Diarrhea		0 (C (C (0 0	touched, or other non-verbal signs suggesting pain) O No pain									
Dry mouth		0 (C (C (0 0	O Present but not exhibited in last 3 days									
Hypersalivation or dr	ooling	0 () (C (0 0	O Exhibited on 1-2 of last 3 days O Exhibited daily in last 3 days									
Increase or decrease in normal appetite 0000					0 0	Intensity of highest level of pain present									
Nausea C) C	0 0	O No pain									
Vomiting		0 0) () (0 0	O Mild O Moderate									
Other		0 -	12	2 3	3 4	O Severe									
Blurred vision		0 0) () C	0 0	O Times when pain is horrible or excruciating									
Daytime drowsiness	or sedation	0 0	С	С	0 0	O No pain									
Difficulty urinating, u times a night or poly		0 () C) C	0 0	O Single episode during last 3 days O Intermittent									
Emergent conditions rash, bleeding)	s (e.g., itching, fever,	0 () (C (0 0	O Constant Pain Control - Adequacy of current therapeutic regimen to									
Headache		0 (C (C (0 0	control pain (from person's point of view)									
Peripheral edema		0 0) () C	0 0	O No issue of pain									
Seizures		0 () C	C (0 0	O Pain intensity acceptable to person; no treatment regimen or change in regimen required O Controlled adequately by therapeutic regimen									
Dyspnea (Shortness o															
O Absence of sympto	m present when performed ma	adarat		. +1, /1+	Hoo	O Controlled when therapeutic regimen followed, but not always followed as ordered									
	present when performed inc					O Therapeutic regimen followed, but pain control not adequate									
O Present at rest			acti		-	O No therapeutic regimen being followed for pain; pain not adequately controlled									
Fatigue Inability to complete	normal daily activities (e	.g., Al	DLs,	IAC	DLs)	Comments, Section J									
O None															
O Minimal - Diminishe normal day-to-day	ed energy but complete / activities	8													
O Moderate - Due to normal day-to-day	o diminished energy, UNA y activities	BLE TO) FI												
O Severe - Due to dir normal day-to-day	minished energy, UNABLE / activities	e to s'	[AR												
O Unable to comme - Due to diminished	nce any normal day-to-o d energy	day a	ctivi	ties	5										

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SECTION K: STRESS AND TRAUMA

Life Events Codes: Code for most 0 = Never - no event of that type was experienced recent time of 1								Describes one or more of these life events as invoking a sense of horror or intense fear				
recent time of event	 1 = More than 1 year ago 2 = 31 days - 1 year ago 3 = 8 - 30 days ago 4 = 4 - 7 days ago 5 = In last 3 days 	0	4	0	0		_	O No, or not O Yes O Could not	applicable /would not respond			
		0	1	2	3	4	5	Other Indicators of Abuse of Person				
	or physical impairment	0	0	0	0	0	0	Fearful of a family member or close				
	nealth of another person	0	0	0	0	0	0	acquaintance	O No O Yes			
	nily member or friend	0	0	0	0	0	0	Unexplained injuries	O No O Yes			
child	es; birth or adoption of	0	0	0	0	0	0	Person has concerns for his/her safe	y O No O Yes			
Conflict-laden or s including divorce	severed relationship,	0	0	0	0	0	0	Family member(s) have been victims of physical, emotional, sexual abuse or	O No O Yes			
Failed or dropped program	out of education	0	0	0	0	0	0	assault				
Major loss of incor hardship due to p	me or serious economic overty	0	0	0	0	0	0	Comments, Section K				
Review hearing (e		0	0	0	0	0	0					
Immigration, inclu	iding refuge status	0	0	0	0	0	0					
Lived in war zone conflict (combata		0	0	0	0	0	0					
Witnessed severe terrorism, violence	accident, disaster, e, or abuse	0	0	0	0	0	0					
Victim of crime (e assault	.g., robbery) - exclude	0	0	0	0	0	0					
Victim of sexual a	ssault or abuse	0	0	0	0	0	0					
Victim of physical	assault or abuse	0	0	0	0	0	0					
Victim of emotion	al abuse	0	0	0	0	0	0					
Parental abuse of	alcohol and/or drugs	0	0	0	0	0	0					
SECTION L: MEDICA	TIONS											
List of All Medication List all active prescri Use worksheet on fo	ptions and any non-preso	cribe	ed (c	over	the	cou	nter)	medications taken in the LAST 3 DAYS				
	ications Prescribed by Ph	ysic	ian					Allergy to Any Drug O No kr O Yes	nown drug allergies			
O Always adher	ent						-					
O Adherent 80%	of time or more							Comments, Section L				
O Adherent less than 80% of time, including failure to purchase prescribed medications O No medications prescribed												
Stopped taking psychotropic medication in last 3 months because of side effects												
	O No, or no psych	otro	pic r	ned	icat	ions						
	O Yes											

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Substance Abuse Services SECTION L: MEDICATIONS List of All Medications List all active prescriptions and any non-prescribed (over the counter) medications taken in the LAST 3 DAYS Route Codes: Dose: **TOP** (Topical) Frequency Codes: BED (Bedtime) Weekly IH (Inhalation) Positive number, such as 0.5, 5, 150 PO (By mouth/oral) Q1H (Every hour) QHS (Hours of sleep) 2W (2 times weekly) SL (Sublingual) Q2H (Every 2 hours) BID (2 times daily) 3W (3 times weekly) NAS (Nasal) TID (3 times daily) 4W (4 times weekly) IM (Intramuscular) ET (Enteral tube) Q3H (every 3 hours) Unit Codes: mEq (milli-equiv) 5W (5 times weekly) gtts (drops) mg (milligram) IV (Intravenous) **TD** (Transdermal) Q4H (Every 4 hours) QID (4 times daily) 6W (6 times weekly) Sub-Q (Subcutaneous) EYE (Eye) Q6H (Every 6 hours) 5D (5 times daily) gm (gram) ml (milliliter) REC (Rectal) Q8H (Every 8 hours) Q2D (Every other day) 1M (Monthly) OTH(Other) L (liter) oz (ounce) 2M (Twice each mo) QD (Daily) Q3D (Every three days) mcg (microgram) OTH (other) PRN Codes: Y = yes N = no OTH (Other) Name Dose Unit Route Frequency PRN

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SECTION M: SERVICE UTILIZATION AND TREATMENTS

Formal Care

Contact with formal care provider in LAST 30 DAYS (or since move to current residence if LESS THAN 30 DAYS)

0 = No contact in last 30 days 1 = No contact in last 7 days, but	2 = Contact in last not daily	7 da	ys, bı	ut	
contact 8 - 30 days ago	3 = Daily contact in	n last	7 da	ys	
		0	1	2	3
Psychiatrist or psychiatric n	urse practitioner	0	0	0	0
Nurse practitioner or MD (ne	on-psychiatrist)	0	0	0	0
Mental Health/Substance A	buse Counselor	0	0	0	0
Social Worker		0	0	0	0
Psychologist or Psychometr	ist	0	0	0	0
Occupational Therapist		0	Ο	Ο	0
Employment/Educational C	ounselor	0	0	0	0
Nurse		0	0	0	0
Personal Support Worker/He	ealth Care Aide	0	0	0	0
Behavioral health peer sup	port (paid)	0	0	0	0
Other behavioral health sta CASACs)	ff (including	0	0	0	0

Person prefers change (when asked)

Peer supports (e.g., programs, staff)

O No O Yes

Treatment Modalities

Code for treatment modalities used in LAST 30 DAYS (or since admission if less than 30 days ago)

- 0 = Not offered and not received
- 1 = Offered, but refused

Family or couple

Individual

Group

2 = Not received, but scheduled to start within next 30 days

Self-help/consumer group (e.g., Double

Complementary therapy or treatment

Trouble, Alcoholics Anonymous)

Day hospital/Outpatient program

3 = Received 8 - 30 days ago 4 = Received in last 7 days

O Could/would not respond	

0 1 2 3 4

00000

00000

00000

00000

00000

00000

Focus of Intervention Code for types of issues that were a major focus of										
interventions in LAST 30 DAYS (or since admission if less than 30 days ago)										
 0 = No intervention of this type 1 = Offered, but refused 2 = Not received, but scheduled to start within n 3 = Received 8 to 30 days ago 4 = Received in last 7 days 	iext	30 c	lays	5						
	0	1	2	3	4					
Life skills training			0							
Social of family functioning	0	0	0	0	0					
Detoxification or post-detox stabilization	0	0	0	0	0					
Alcohol or drug treatment, including methadone management	0	0	0	0	0					
Employment support services	0	0	0	0	0					
Anger management	0	0	0	0	0					
Behavioral management	0	0	0	0	0					
Pain management	Ο	0	Ο	Ο	0					
Crisis intervention	0	0	Ο	Ο	0					
Basic needs (e.g., shelter, food)	0	0	Ο	Ο	0					
Psychosocial rehabilitation	0	0	0	0	0					
Electroconvulsive Therapy										
O Never received and not schedu	uled	l to	beg	gin						
O Received more than 30 days ago										

- O Received 8 to 30 days ago
- O Received within last 7 days
- O Scheduled to begin within 7 days

Hospital Use, Emergency Room Use, Physician Visit

Code for number of times during the LAST 90 DAYS (or since last assessment if less than 90 days)

Inpatient acute hospital with overnight stay (non-psychiatric)

Emergency room visit (not counting overnight stay)

Physician visit (or authorized assistant or practitioner) - EXCLUDE PSYCHIATRIST

Comments, Section M

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SECTION N. NUTDITIONAL STATUS

Height and Weight				Presence of potential signs of eating disorders						
Record height in inches and we	ight in pounds.			in LAST 30 DAYS						
Height Inches				Any instances of binge eating purging, or bulimia	O No	O Yes				
Weight				Unrealistic fear of weight gain that suggest a distorted body		O No	O Yes			
Base weight on most recent mea in LAST 30 DAYS	asure	Pour	nds	Fasting or major restrictions of EXCLUDE RELIGIOUS PRACTIC	O No	O Yes				
Nutritional Issues				Comments, Section N						
Weight loss of 5% or more in L or 10% or more in LAST 180 DA		O No	O Yes	5						
Weight gain of 5% or more in or 10% or more in LAST 180 DA		O No	O Yes	5						
Fluid intake less than 1,000 cc (less than four 8 oz cups/day)		O No	O Yes	5						
Decrease in amount of food c usually consumed	or fluid	O No	O Yes	5						
Ate one or fewer meals on AT LAST 3 DAYS	LEAST 2 of	O No	O Yes	5						
SECTION O: SOCIAL RELATION	IS									
Two Key Informal Helpers										
Helper 1 Name:				Helper 2 Name:						
Relationship to person				Relationship to person						
O Child or child-in-law	O Other relat	live		O Child or child-in-law	O Other rel	lative				
O Spouse O Partner/significant other	O Friend O Neighbor			O Spouse O Partner/significant other	O Friend O Neighbor					
O Parent/guardian	O No informa	al helper		O Parent/guardian	O Neighbor O No support					
O Sibling				O Sibling		ont				
Lives with person	O No			Lives with person	O No O Yes, 6 months or less					
•	O Yes, 6 mon O Yes, more t		S		O Yes, r	nore tha	in 6 months			
	O No informa					formal h	elper			
Areas of informal help during las (Check all that apply)	t 3 days			Areas of informal help during last 3 (Check all that apply)	8 days					
Help with child care or other dependents	O No O O No inforr		er	Help with child care or other dependents		o O Ye o informa	s al helper			
Supervision for personal safety	ONO O ONo inforr		er	Supervision for personal safety		o O Ye o informa	s al helper			
Crisis support	O No O			Crisis support		o O Ye				
	O No inform	•	er			o informa o O Ye	al helper			
IADL	O No O O No inforr		er	IADL			s al helper			
ADL	O No O			ADL		o O Ye				
	O No inform		er		O No	o informa	al helper			

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						1						
or alt	arnat	VA fi	ituro			Person prefers change (when	asked)					
red (e ovide	e.g., it supp	curr ort)	ent			Recreational activities (e.g., type, number, or level of participation)	O No O Yes O Could/would not respond					
		•		n		Relationships (e.g., establishing	O No O Yes					
er Status						friendships, improving	O Could/would not respond					
Informal helper(s) is unable to continue caring activities (e.g., decline in health of the helper makes it difficult to continue)O No O YePrimary informal helper expresses feelingsO wo O Ye							Activity Level In the LAST 3 DAYS, number of days went out of the					
elings	5	C) No	ΟY	'es	house or building in which he how short the period)	e/she lives (no matter					
		C) No	ΟY	'es	O No days out						
	nily			0.		but usually go	it in last 3 days, bes out over a 3-day period					
al				5								
				, , , , , , , , , , , , , , , , , , ,	ho day							
		belie		(morning and afternoon)	ne day							
						O Less than 1 ho	our					
						O 1 to 2 hours						
o on more than of repeated												
		С	No	ΟY	'es	Comments, Section O						
		С	No	ΟY	'es							
		С	No	ΟY	'es							
		С	No	ΟY	'es							
ith far	nily	С	No	ΟY	'es							
in		С	No	ΟY	'es							
ago ys detern	nine											
0	1	2	3	4	8							
0	0	0	0	0	0							
0	0	0	0	0	0							
0	0	0	0	0	0							
	te far ago ys determ ago ys determ 0 0 0	te family te family	red (e.g., if curr ovide support) ed OR not requi at under conside ue th of ue) elings (te family assent believes ls, or other belie AND others belie AND others belie C C th family in C C C C C C C C C C C C C C C C C C	ed OR not required at under consideration ue th of O No elings O No O No te family sent believes ls, or other believe AND others believe AND others believe O No O No O No O No O No O No O No O No	red (e.g., if current vide support) ed OR not required it under consideration ue th of O No O Y o No O Y co No O Y te family esent believes ls, or other believe AND others believe O No O Y O NO O Y	red (e.g., if current vide support) ad OR not required it under consideration ue Ith of ue) D No O Yes O No O Yes O No O Yes AND other believe AND others believe O No O Yes O No O Yes	ago No O Yes It family O No O Yes O No O Yes O No It family O No O Yes O No O Yes O No No O Yes O No O No O Yes O More than 2 H O No O Yes O More than 2 H O No O Yes O More Yes O No O Yes O No O No O Yes O No					

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SECTION P: EMPLOYMENT, EDUCATION, AND FINANCES										
Employment Status O Employed O Unemployed, seeking employ O Unemployed, not seeking em		Enrolled in formal education program O No O Part-time O Full-time								
Employment Arrangements - E O Integrated (competitive) with O Integrated (competitive) with (e.g., Transitional employr employment, ongoing su O Non-integrated (non-compe O Not employed	nout supports n supports ment, intensive supportive pported employment)	Risk of unemployment or disrupted education Increase in lateness or absenteeism O No O Ye over LAST 6 MONTHS O Not applid Poor productivity or disruptiveness O No O Ye at work or school O Not applid Expresses intent to quit work or school O No O Ye Persistent unemployment or fluctuating O No O Ye								
Average hours worked per wee Exclude volunteer work	ek in the past month -	Persistent unemployment or fluc work history over LAST 2 YEARS	tuating	O No O Yes O Not applicable						
 At least 35 hours 10 - 34 hours 1 - 9 hours None Not employed Compensation for work - Exclu At or above minimum wage Below minimum wage 	ide volunteer work	Person prefers change (when aske Paid employment (e.g., type, hours, pay) Employment support services (e.g., pre-vocational services, transitional employment,	O No O Cou O No	ld/would not respond						
O No pay O Not employed Volunteers		Intensive supported employment, ongoing supported employment)								
Works as a volunteer (e.g., for community services)	O No O Yes	Education/training	O No O Cou	O Yes Id/would not respond						
Highest level of education completed	O No schoolingO 8th grade or less	Educational support services		O Yes Id/would not respond						
	 9-11 grades High school Business or technical school Some college Associate's degree Bachelor's degree Graduate degree 	Finances Because of limited funds, during th LAST 30 DAYS made trade offs amo purchasing any of the following: adequate food, shelter, clothing; prescribed medications; sufficient heat or cooling; necessary health of	O No O Yes							

Comments, Section P

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SECTION Q: ENVIRONMENTAL ASSESSMENT

Home Environment

Code for any of the following that make home environment hazardous or uninhabitable (if temporarily in institution, base assessment on home visit)

Disrepair of the home (e.g., hazardous clutter; inadequate or no lighting in living room, sleeping room, kitchen, toilet, corridors; holes in floor; leaking pipes)	O No	O Yes	O Unknown, home not visited or no information
Squalid condition (e.g., extremely dirty, infestation by rats or bugs)	O No	O Yes	O Unknown, home not visited or no information
Inadequate heating or cooling (e.g., too hot in summer, too cold in winter)	O No	O Yes	O Unknown, home not visited or no information
Lack of personal safety (e.g., fear of violence, safety problem in going to mailbox or visiting neighbors, heavy traffic in street)	O No	O Yes	O Unknown, home not visited or no information
Limited access to home or rooms in home (e.g., difficulty entering or leaving home, unable to climb stairs, difficulty maneuvering, no rails although needed)	O No	O Yes	O Unknown, home not visited or no information

Comments, Section Q

SECTION R: DISEASE DIAGNOSES

DSM-IV Provisional Diagnostic Category [Identify all provisional categories of DSM-IV diagnoses determined I attending physician and rank their importance as factors contributir (if no provisional diagnosis available, mark all "No provisional diagno	Codes: 0 = Not present 1 = Most important						
	0	1	2	3	4	8	2 = Second most important3 = Third most important
Disorders of childhood or adolescence	0	0	0	0	0	0	4 = Less important8 = No provisional diagnosis
Delirium, dementia, and amnestic and other cognitive disc	orders O	0	0	0	0	0	
Mental disorders due to general medical conditions	0	0	0	0	0	0	
Substance-related disorders	0	0	0	0	0	0	
Schizophrenia and other psychotic disorders	0	0	0	0	0	0	
Mood disorders	0	0	0	0	0	0	
Anxiety disorders	0	0	0	0	0	0	
Somatoform disorders	0	0	0	0	0	0	
Factitious disorders	0	0	0	0	0	0	
Dissociative disorders	0	0	0	0	0	0	
Sexual and gender identity disorders	0	0	0	0	0	0	
Eating disorders	0	0	0	0	0	0	
Sleep disorders	0	0	0	0	0	0	
Impulse-control disorders not elsewhere classified	0	0	0	0	0	0	
Adjustment disorders	0	0	0	0	0	0	
Personality disorders	0	0	0	0	0	0	

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(Mental Health and Substance Use Disorder)

Enter Axis I and Axis II DSM-IV diagnoses, if known. Must be

completed on program discharge, but also complete with

earlier assessments if specific psychiatric diagnosis already

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Psychiatric Diagnoses

determined.

Community Mental Health Assessment

Other Medical Diagnoses (ICD-9/ICD-10 codes)

Disease code

0 = Not present

- 2 = Diagnosis present, receiving active treatment
- **3** = Diagnosis present, monitored but no active treatment

Axis I - DSM-IV code						Dise a 0	ase C	Code 3
						0	0	0
						0	0	0
						0	0	0
Axis II - DSM-IV code						0	0	0
							-	-
ntellectual Disability e.g., Down Syndrome)	(O No	O Yes			 0 0	0 0	0 0
Nedical Diagnoses							\circ	\circ
Disease code						O	0	0
 0 = Not present 2 = Diagnosis present, receiving activ 3 = Diagnosis present, monitored but 			ment	Comments, Sec	ction R			
		2 3						
Asthma	0 (0 0	-					
Diabetes mellitus	0 (0 0						
Hypothyroidism	0 (0 0						
Migraine	0 (0 0						
Traumatic brain injury	0 0	0 0						
Heart disease	0 0	0 0						
HIV/AIDS	0 (0 0						
Chronic Obstructive Pulmonary Disease (COPD)	0 (0 0						
Hypertension	0 0	0 0						
High cholesterol or triglycerides	0 (0 0						
Tuberculosis (either active or newly confirmed inactive infection)	0 (0 0						
Hepatitis C	0 (0 0						
Ection S: Discharge								
How long person is expected to rec from this agency (count from assessment reference c that day)				O 1 to 7 days O 8 to 14 days O 15 to 30 days O 31 to 90 days O 91 or more d	S			
ast day of involvement with program complete only at discharge	m or aç	gency	[]/[

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Program Discharge/Transitioned To	
O Private home/apartment/rented room	O OPWDD community residence
O DOH adult home	O Long-term care facility (nursing home)
O Homeless - shelter	O Rehabilitation hospital/unit
O Homeless - street	O Hospice facility/palliative care unit
O Mental Health supported/supportive housing (all types)	O Acute care hospital/unit
O OASAS/SUD community residence	O Correctional facility
 O CCFS/ACS/DSS community residence program (Family foster care group home, Therapeutic foster care) O Unspecified/Other 	O Deceased

Describe:

SECTION T: ASSESSMENT INFORMATION

Assessment Notes Comment on additional information that is pertinent to this individual or contributors to the assessment process: